

# IRA Transfer Request Form

Please Print All Information. Complete this page if you have a Traditional IRA with another custodian and would like to transfer that account to a Morgan Stanley Traditional IRA. Your IRA money will be invested in shares of Morgan Stanley mutual funds based upon your election(s). MORGAN STANLEY IS UNABLE TO ACCEPT STOCK CERTIFICATES TO PURCHASE MORGAN STANLEY MUTUAL FUND SHARES. Assets must be transferred as cash or Morgan Stanley mutual fund shares transferred "in-kind."

1. If you are transferring your Traditional IRA and you commingle your Traditional Rollover and Traditional Contributory IRA funds into the same Traditional IRA, you may forfeit the right to roll over your Traditional Rollover IRA to another qualified plan later. To keep your IRA monies separate, complete a new Traditional IRA Application.
2. Some custodians require a signature guarantee before they will transfer your retirement account. You can obtain a signature guarantee from one of the following: a bank or trust company; a broker/dealer; a credit union; a national securities exchange; a registered securities association or a clearing agency; a savings and loan association; or a federal savings bank. Use the space provided in Section 6.

## 1. Information about you

Your name

Address

City

State

Zip code

Social Security number

Date of birth (month/day/year)

Daytime phone number (include area code)

## 2. Are you opening a new Morgan Stanley IRA?

- Yes. Attached is my completed Traditional IRA Application.
- No. Apply the money to my existing Morgan Stanley Traditional IRA listed below.
- Yes. Attached is my completed SEP IRA Application.
- No. Apply the money to my existing Morgan Stanley SEP IRA listed below.

Fund name

Account number

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### 3. Describe the account you are transferring

Traditional IRA transferring to a MS Traditional IRA

SEP IRA transferring to a MS SEP IRA.

Name of your present employer, custodian or insurance carrier

Name of person to contact and phone number

Address

City

State

Zip code

Account number (A copy of a RECENT statement will expedite the process)

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### 4. Morgan Stanley's Transfer Agent will complete this section

**To Trustee or Custodian:** State Street Bank and Trust Company as successor custodian under Section 408 of the Internal Revenue Code (through its agent, Boston Financial Data Services, Inc.) will accept the transfer for the above referenced investor. Make checks payable or send transfer IN-KIND instructions as follows:

**Morgan Stanley Funds**  
**c/o Boston Financial Data Services, Inc.**  
**P.O. Box 219804**  
**Kansas City, MO 64121-9804**  
**Indicate "Traditional Transfer" or "SEP Transfer"**



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**JAY A. SHUMAN**  
AUTHORIZED BOSTON FINANCIAL DATA SERVICES, INC.  
OFFICER SIGNATURE  
CHIEF FINANCIAL OFFICER OF BOSTON FINANCIAL DATA SERVICES, INC.

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### 5. Approximate amount to be transferred?

Under \$10,000

\$10,000 – \$24,999

\$25,000 – \$50,000

Over \$50,000

### 6. Check appropriate box(es) and sign

Liquidate immediately \_\_\_\_\_ of my account.  
All or dollar amount

Liquidate immediately \_\_\_\_\_ of my account.  
All or dollar amount

My maturity date is \_\_\_\_\_  
Month Day Year

Cash surrender my annuity contract.

Transfer IN-KIND the following Morgan Stanley mutual fund shares currently held:

Name(s) of fund(s) \_\_\_\_\_

All or number of shares \_\_\_\_\_

**IMPORTANT:** If no amount is provided, or if the information provided is unclear or incomplete, the transfer request may not be processed.

**Dear Trustee or Custodian:** I hereby authorize and direct you to transfer the specified assets in said account within 30 days of the above date to Boston Financial Data Services, Inc. (agent for State Street Bank and Trust Company, the Custodian of my new Account). Please send a current-year history and closing statement to me, but deliver the assets as directed in Sections 4 and 6. I have received and read the prospectus for the Morgan Stanley Fund(s) in which I am making my investment. I authorize the resigning custodian to deduct any termination and/or penalty fees and on applicable sales charges that may apply to this transaction.

YOUR SIGNATURE

\_\_\_\_\_

DATE

**Signature Guarantee** (*guarantee stamp below*) If required, provide signature guarantee here with official stamp bearing name of bank or firm and signature of officer and his/her title.

**STAMP HERE**

SIGNATURE GUARANTEED BY \_\_\_\_\_

TITLE \_\_\_\_\_

|                  |                         |                |  |               |
|------------------|-------------------------|----------------|--|---------------|
| NOT FDIC INSURED | OFFER NO BANK GUARANTEE | MAY LOSE VALUE | NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY | NOT A DEPOSIT |
|------------------|-------------------------|----------------|--|---------------|

[www.morganstanley.com/im](http://www.morganstanley.com/im)



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