

Morgan Stanley & Co. LLC
CFTC 1.55 (o)(1)(iv)
10/23 - 9/24

| | <u>Tentative</u> <u>Net Capital</u> | <u>Net Capital</u> | <u>Excess</u> <u>Net Capital</u> |
|--------|--|--------------------|-------------------------------------|
| Oct-23 | 22,245,496,538 | 18,585,736,520 | 14,380,662,312 |
| Nov-23 | 22,045,486,547 | 18,539,040,138 | 14,259,027,191 |
| Dec-23 | 22,022,953,416 | 18,120,974,852 | 13,675,553,196 |
| Jan-24 | 22,143,048,536 | 18,363,981,874 | 13,903,303,780 |
| Feb-24 | 22,233,460,013 | 18,064,356,062 | 13,647,363,763 |
| Mar-24 | 22,450,757,078 | 18,627,911,211 | 14,145,092,063 |
| Apr-24 | 22,310,482,910 | 18,946,316,501 | 14,407,023,050 |
| May-24 | 22,848,860,220 | 19,235,673,010 | 14,656,902,856 |
| Jun-24 | 21,672,800,923 | 18,298,269,462 | 13,790,765,132 |
| Jul-24 | 21,556,296,849 | 18,205,312,857 | 13,601,052,848 |
| Aug-24 | 21,513,362,914 | 18,452,118,374 | 13,716,267,963 |
| Sep-24 | 20,832,759,626 | 17,159,696,627 | 12,342,095,870 |